



Attorneys Docket No.: 10991-002US1

3763

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Edward P. Ingenito  
Serial No. : 10/069,307  
Filed : February 20, 2002  
Title : TISSUE VOLUME REDUCTION  
Commissioner for Patents  
Washington, D.C. 20231

Art Unit : Unknown  
Examiner : Unknown

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INFORMATION DISCLOSURE STATEMENT

Copies of the following references listed on the attached form PTO-1449 are enclosed:

CB, CC, CD, CE, CG, CK, CN, CP, CS, CT, CBB, CEE, CHH, and CII.

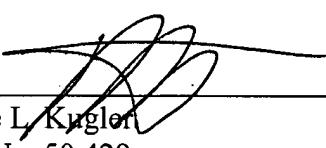
Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/379,460, filed on August 23, 1999. The following references were submitted to and/or cited by the Office in the prior application and can be found in that file, and therefore, are not submitted herewith:

AA-AJ, BA-BI, CA, CF, CH, CI, CJ, CL, CM, CO, CQ, CR, CU, CV, CW, CX, CY, CZ, CAA, CCC, CDD, CFF, CGG, CJJ, CKK, CLL, CMM, and CNN.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 2.26.2003

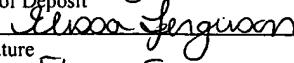
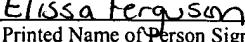
  
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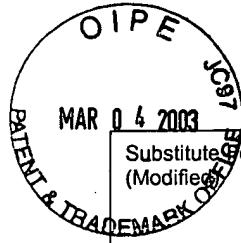
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10991-002US1

 Substitute Form PTO-1449  
 (Modified)

 U.S. Department of Commerce  
 Patent and Trademark Office

 Attorney's Docket No.  
 10991-002US1

 Application No.  
 10/069,307

**Information Disclosure Statement  
by Applicant**  
 (Use several sheets if necessary)

(37 CFR §1.98(b))

 Applicant  
 Edward P. Ingenito

 Filing Date  
 February 20, 2002

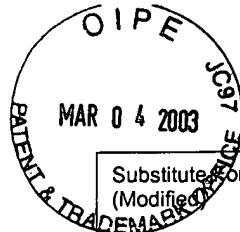
Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,886,496	12/12/89	Conoscenti <i>et al.</i>			
	AB	5,423,312	06/13/95	Siegmund <i>et al.</i>			
	AC	5,437,292	08/01/95	Kipshidze <i>et al.</i>			
	AD	5,728,132	03/17/98	Van Tassel <i>et al.</i>			RECEIVED
	AE	5,728,751	03/17/98	Patnaik			MAR 07 2003
	AF	5,733,545	03/31/98	Hood, III			TECHNOLOGY CENTER R3700
	AG	5,739,288	04/14/98	Edwardson <i>et al.</i>			
	AH	5,773,418	06/30/98	Edwardson <i>et al.</i>			
	AI	5,782,748	07/21/98	Palmer <i>et al.</i>			
	AJ	5,814,022	09/29/98	Anatanavich <i>et al.</i>			
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	BA	EP 0 303 756	02/22/89	Europe			
	BB	WO 95/13748	05/26/95	WIPO			
	BC	DE 4423178	01/04/96	Germany			
	BD	WO 97/01989	01/23/97	WIPO			
	BE	WO 97/33633	09/18/97	WIPO			
	BF	WO 97/42986	11/20/97	WIPO			
	BG	WO 97/46632	12/11/97	WIPO			
	BH	WO 99/25782	05/27/99	WIPO			
	BI	WO 01/02042	01/11/01	WIPO			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	CA	Baumann <i>et al.</i> , Ann. Thorac. Surg. 64(1):230-233, 1997.

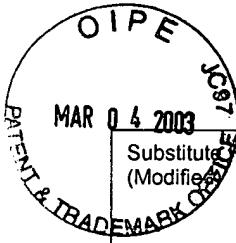
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Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10991-002US1	Application No. 10/069,307
		Applicant Edward P. Ingenito		
		Filing Date February 20, 2002	Group Art Unit	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	CB	Cooper et al., "Bilateral pneumectomy (volume reduction) for chronic obstructive pulmonary disease", <i>J. Thorac. Cardiovasc. Surg.</i> , Volume 109, pp. 106-116, 1995.
	CC	Cooper et al., "Results of 150 consecutive bilateral lung volume reduction procedures in patients with severe emphysema", <i>J. Thorac. Cardiovasc. Surg.</i> , Volume 112, pp. 1319-1329, 1996.
	CD	Enhoring, "Pulsating bubble technique for evaluating pulmonary surfactant", <i>J. Appl. Physiol.</i> , Volume 43, pp. 198-203, 1977.
	CE	Florkiewicz et al., "Human basic fibroblast growth factor gene encodes four polypeptides: three initiate translation from non-AUG codons", <i>Proc. Natl. Acad. Sci. USA</i> , Volume 86, pp. 3978-3981, 1989.
	CF	Foucher et al., <i>Eur. Respir. J.</i> , 9(10):2181-2182, 1996.
	CG	Gibson et al., "Exponential description of the static pressure-volume curve of normal and diseased lungs", <i>Am. Rev. Resp. Dis.</i> , Volume 120, pp. 799-811, 1979.
	CH	Hamamoto et al., <i>Kekkaku (JAPAN)</i> , 64(11):713-719, 1989.
	CI	Hauck et al., <i>World J. Surg.</i> 15(1):146-149, 1991.
	CJ	Hirao et al., <i>Nippon Kyobu Geka Gakkai Zasshi (JAPAN)</i> 42(11):2144-2149, 1994.
	CK	Hoppin, "Theoretical basis for improvement following reduction pneumoplasty in emphysema", <i>Am. J. Resp. Crit. Care Med.</i> , Volume 155, pp. 520-525, 1997.
	CL	Ikushima et al., <i>J. Jpn Assoc. Thorac. Surg.</i> , 36(11):2521-2524, 1988.
	CM	Inoue et al., <i>Lung</i> , 172(5):293-298, 1994.
	CN	Kotloff et al., "Bilateral lung volume reduction surgery for advanced emphysema: A companion of median sternotomy and thorascopic approaches", <i>Chest</i> , Volume 110, pp. 1399-1406, 1996.
	CO	Kawamata et al., <i>Kyobu Geka (JAPAN)</i> , 51(2):165-167, 1998.
	CP	Kennedy et al., "Mechanisms of surfactant dysfunction in early acute lung injury", <i>Exp. Lung Res.</i> , Volume 23, pp. 171-189, 1997.
	CQ	Klepetko et al., <i>Chirurg (GERMANY)</i> , 67(12):1215-1221, 1996.
	CR	Koyama et al., <i>ACTA Haematol. Jpn.</i> 51(40):808-815, 1988.
	CS	Lutchen et al., "Optimal ventilation waveforms for estimating low-frequency respiratory impedance", <i>J. Appl. Physiol.</i> , Volume 75, pp. 478-488, 1993.
	CT	Martinez et al., "Lung-volume reduction improves dyspnea, dynamic hyperinflation, and respiratory muscle function", <i>Am. J. Resp. Crit. Care Med.</i> , Volume 155, pp. 1984-1990, 1997.
	CU	Matthew et al., <i>Ann. Thorac. Surg.</i> 50(1):40-43, 1990.
	CV	Nakamura et al., <i>Kyobu Geka (JAPAN)</i> , 50(7):589-593, 1997.
	CW	Nonaka et al., <i>Kyobu Geka (JAPAN)</i> , 50(7):589-593, 1997.
	CX	Regel et al., <i>Surg. Endosc. (GERMANY, WEST)</i> 4(1):31-35, 1990.
	CY	Rock et al., <i>Am. J. Respir. Crit. Care Med.</i> 151(4):1047-1052, 1995.

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Substitute Form PTO-1449 (Modified 3/2000)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10991-002US1	Application No. 10/069,307
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Edward P. Ingenito	
		Filing Date February 20, 2002	Group Art Unit

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	CZ	Samuels <i>et al.</i> , <i>Chest</i> 109(6):1653-1655, 1996.
	CAA	Scheyer <i>et al.</i> , <i>Surg. Endosc. (GERMANY)</i> , 10(5):501-503, 1996.
	CBB	Silberstein <i>et al.</i> , "An autologous fibrinogen-based adhesive for use in otologic surgery", <i>Transfusion</i> , Volume 28, pp. 319-321, 1988.
	CCC	Spotnitz <i>et al.</i> , <i>Am. Surg.</i> 55(3) 166-168, 1989.
	CDD	Sprung <i>et al.</i> , <i>Anaesthesia (ENGLAND)</i> 52(12):1207-1211, 1997.
	CEE	Stamenovic, "Micromechanical foundations of pulmonary elasticity", <i>Physiol. Rev.</i> , Volume 70, pp. 1117-1134, 1990.
	CFF	Stammberger <i>et al.</i> , <i>Eur. J. Cardiothorac. Surg. (NETHERLANDS)</i> , 11(6):1005-1010, 1997.
	CGG	Straub <i>et al.</i> , <i>Z. Erkr Atmungsorgane (GERMANY, EAST)</i> 167(1-2):185-189, 1986.
	CHH	Suki <i>et al.</i> , "Relationship between frequency and amplitude dependence in the lung: a nonlinear block-structured modeling approach", <i>J. Appl. Physiol.</i> , Volume 79, Number 2, pp. 660-671, 1995.
	CII	Swanson <i>et al.</i> , "No-cut thoracoscopic lung plication: a new technique for lung volume reduction surgery", <i>J. Am. Coll. Surg.</i> , Volume 185, pp. 25-32, 1997.
	CJJ	Sznajder <i>et al.</i> , <i>J. Appl. Physiol.</i> 67(2):817-823, 1989.
	CKK	Takenouchi <i>et al.</i> , <i>Kyobu Geka (JAPAN)</i> 50(3):243-246, 1997.
	CLL	Vaca <i>et al.</i> , <i>Am. J. Crit. Care</i> , 5(6):412-419, 1996.
	CMM	Waclawiczek <i>et al.</i> , <i>Surg. Endosc. (GERMANY, WEST)</i> 1(2):99-102, 1987.
	CNN	Zimmer <i>et al.</i> , <i>J. Hepatol.</i> 28(2):292-297, 1998.

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